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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/159,404	09/24/1998	CURTIS T. COMBAR	COS-98-044	5086

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WORLDCOM, INC.
TECHNOLOGY LAW DEPARTMENT
1133 19TH STREET NW
WASHINGTON, DC 20036

EXAMINER

HAYES, JOHN W

ART UNIT	PAPER NUMBER
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2161

DATE MAILED: 02/07/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/159,404

Applicant(s)

COMBAR ET AL.

Examiner

John W Hayes

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 November 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s) 457-11
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Information Disclosure Statement

1. The information disclosure statements filed 13 April 1999, paper no. 5, 04 June 2001, paper no. 7 and 08 November 2001, paper no. 11 fail to comply with 37 CFR 1.98(a)(2), which requires a legible copy of each U.S. and foreign patent; each publication or that portion which caused it to be listed; and all other information or that portion which caused it to be listed. It is noted that the U.S. and foreign patent references cited therein have been considered by the Examiner, however, the non-patent literature cited therein has not been considered since a copy of each of the references was not provided and was not available to the Examiner.

Drawings

2. The drawings filed on 24 September 1998 are subject to correction of the informalities indicated on the attached "Notice of Draftperson's Patent Drawing Review," PTO-948. In order to avoid abandonment of this application, correction is required in reply to the Office action. The correction will not be held in abeyance.

Specification

3. The disclosure is objected to because of the following informalities:

a. The disclosure incorporates by reference other U.S. Patent Applications on the following pages, however, the application no. is missing:

- Page 5, line 5
- Page 9, line 2
- Page 9, line 22
- Page 10, line 28
- Page 12, line 6

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- Page 16, line 16
- Page 26, line 21
- Page 27, line 22
- Page 28, line 23
- Page 30, line 6
- Page 33, line 1
- Page 33, line 6
- Page 59, line 22
- Page 60, line 22
- Page 61, line 6
- Page 61, line 25
- Page 64, line 29
- Page 85, line 31

b. The disclosure has included references to appendices A-I, however, these appendices are not part of the disclosure and will not be included in the published patent. Examiner recommends either incorporating these appendices within the text of disclosure or as drawing figures, See MPEP 608.05(b).

Appropriate correction is required.

Claim Rejections - 35 USC § 112

4. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claim 5 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

6. Claim 5 recites the limitation "said requestor applet" in line2. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 1-4, 7-17 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chang et al, U.S. Patent No. 5,958,016 in view of O'Reilly et al, U.S. Patent No. 5,825,769.

As per **Claims 1-4 and 7-11**, Chang et al disclose a web/internet based reporting system for communicating information related to a customer's communication network to a client workstation via an integrated interface comprising:

- a client browser application located at the client workstation for enabling interactive web based communications with the reporting system, the client workstation identified with a customer and providing the integrated interface (Figure 2; Col. 4, lines 45-51; Col. 5, lines 10-14; Col. 6, lines 28-30; Col. 7, lines 9-13),

- at least one secure server for managing client sessions over the Internet, the secure server supporting secure socket connection enabling encrypted communication between the browser application client and the secure server (Col. 5, lines 1-6; Col. 5 line 61-Col. 6 line 3; Col. 7, lines 36-42; Col. 24, lines 37-45),

- a report manager server in communication with the at least one secure server for maintaining an inventory of reporting items associated with a customer, the reporting items comprising report data types and report customization features for reports to be generated for the customer (Figure 1; Col. 10, lines 44-48; Col. 11, lines 4-8; Col. 16, lines 17-38; Col. 19, lines 6-12; Col. 21, lines 62-65; Col. 22, lines 40-50; Col. 23, lines 19-28),

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- a data retrieval device for retrieving customer specific data from the customer's telecommunications network at pre-determined times (Col. 19, lines 5-12; Col. 21, lines 55-60; Col. 22, lines 34-42; Col. 23, lines 12-16),
- a requestor application enabling the customer to communicate a data report request message via the integrated interface to the report manager server, the request message comprising a metadata description of particular reporting items to be retrieved, the metadata description of particular reporting items being forwarded to the retrieval device, and the retrieval device obtaining customer specific data in accordance with the metadata request (Col. 4, lines 52-55; Col. 5, lines 20-23; Col. 11, lines 10-15; Col. 22, lines 32-40; Col. 23, lines 10-15),
- whereby the customer specific retrieved data of the reporting items are communicated to the client workstation and utilized to generate a completed report for presentation to the customer (Col. 15, lines 3-6; Col. 19, lines 5-12; Col. 21, lines 62-65; Col. 22, lines 42-48; Col. 23, lines 20-28).

Chang et al, however, fail to specifically disclose that the system communicates call detail information to the customer and that the requestor application allows the customer to specify the particular reporting items to be retrieved for certain predetermined times. O'Reilly et al disclose a system and method for viewing in real time or at other predetermined times call traffic of a telecommunications network wherein the system communicates call detail information to a customer (Col. 15, lines 7-25; Col. 19, lines 57-67; Col. 20, lines 53-61; Col. 22, lines 35-45, Col. 22 line 65-Col. 23 line 9). O'Reilly et al further disclose that the customer uses an application to request specific reporting items to be retrieved at certain specific times (Col. 22 line 65-Col 23 line 9; Col. 2, lines 47-56; Col. 6, lines 15-45; Col. 23, lines 54-58). It would have been obvious to one of ordinary skill in the art to modify the method of Chang et al and include the ability to provide call detail information to the customer in a format requested by the customer and at times prescribed by the customer as taught by O'Reilly et al. O'Reilly provides motivation by indicating that these features would allow the customer to monitor the operation of the network and accordingly reallocate his resources (Col. 2, lines 30-40). O'Reilly et al further indicates that these features provides the customer the ability to download information from the system in his own

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format and design for the reports so that a customer can monitor the operation of the network so as to be able to effect any necessary changes expeditiously (Col. 3, lines 12-25).

As per **Claims 12-17**, Chang et al disclose a web/internet based reporting method for communicating information related to a customer's communication network to a client workstation via an integrated interface comprising:

- enabling interactive web based communications between a client workstation identified with a customer and one or more secure servers over a secure communications link, the web based communications including forwarding of report request messages and associated report response messages back over the secure communications link (Figure 2; Col. 4, lines 45-51; Col. 5, lines 10-14; Col. 6, lines 28-30; Col. 21, lines 50-65; Col. 22, lines 32-47; Col. 23, lines 10-27),
- accessing reporting items based on a customer entitlement information for a requested report to be generated (Figure 1; Col. 10, lines 44-48; Col. 11, lines 4-8; Col. 16, lines 17-38; Col. 19, lines 6-12; Col. 21, lines 62-65; Col. 22, lines 40-50; Col. 23, lines 19-28),
- generating a response message including a metadata description of particular reporting items to be retrieved, the metadata description of particular reporting items being forwarded to the retrieval device, and the retrieval device obtaining customer specific data in accordance with the metadata request (Col. 4, lines 52-55; Col. 5, lines 20-23; Col. 11, lines 10-15; Col. 22, lines 32-40; Col. 23, lines 10-15),
- retrieving customer specific data from the customer's telecommunications network in accordance with the reporting items included in the metadata description (Col. 19, lines 5-12; Col. 21, lines 55-60; Col. 22, lines 34-42; Col. 23, lines 12-16),
- whereby the customer specific retrieved data of the reporting items are communicated to the client workstation and utilized to generate a completed report for presentation to the customer (Col. 15, lines 3-6; Col. 19, lines 5-12; Col. 21, lines 62-65; Col. 22, lines 42-48; Col. 23, lines 20-28).

Chang et al, however, fail to specifically disclose that the system communicates call detail information to the customer and that the requestor application allows the customer to specify the particular reporting items to be retrieved. O'Reilly et al disclose a system and method for viewing in real

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time or at other predetermined times call traffic of a telecommunications network wherein the system communicates call detail information to a customer (Col. 15, lines 7-25; Col. 19, lines 57-67; Col. 20, lines 53-61; Col. 22, lines 35-45, Col. 22 line 65-Col. 23 line 9). O'Reilly et al further disclose that the customer uses an application to request specific reporting items to be retrieved or polled at certain specific times (Col. 22 line 65-Col 23 line 9; Col. 2, lines 47-56; Col. 6, lines 15-45; Col. 23, lines 54-58). It would have been obvious to one of ordinary skill in the art to modify the method of Chang et al and include the ability to provide call detail information to the customer in a format requested by the customer and at times prescribed by the customer as taught by O'Reilly et al. O'Reilly provides motivation by indicating that these features would allow the customer to monitor the operation of the network and accordingly reallocate his resources (Col. 2, lines 30-40). O'Reilly et al further indicates that these features provides the customer the ability to download information from the system in his own format and design for the reports so that a customer can monitor the operation of the network so as to be able to effect any necessary changes expeditiously (Col. 3, lines 12-25).

As per **Claim 19**, Chang et al further disclose the step of supporting encrypted communication of report request messages and report response messages between the client application and a secure server over the communications link (Col. 5, lines 1-6; Col. 5 line 61-Col. 6 line 3; Col. 7, lines 36-42; Col. 24, lines 37-45).

9. Claims 5-6 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Chang et al, U.S. Patent No. 5,958,016 and O'Reilly et al, U.S. Patent No. 5,825,769 as applied to claim 4 above, and further in view of Sharples et al, U.S. Patent No. 6,240,450 B1.

As per **Claims 5-6 and 18**, Chang et al and O'Reilly et al fail to specifically disclose a requestor applet that further enables customer scheduling of report request metadata descriptions to be communicated from the report manager to the retrieval device at a customer specified frequency or

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wherein the secure web server further generates report requestor applets capable of presenting the reporting items to the customer via the report requestor application. Sharples et al disclose a network data visualization system and method for visualizing data related to traffic statistics in a communications network and teaches the use of applets (Col. 4, lines 23-37) to enable the customer to schedule the reporting of the information at a customer specified frequency (Col. 6 lines 51-Col. 7 line 30) and wherein the secure server further generates applets capable of presenting the reporting items to a customer (Col. 5, lines 45-67; Col. 8, lines 15-41). It would have been obvious to one of ordinary skill in the art to modify the methods of Chang et al and O'Reilly et al and include the use of applets to enable the customer to schedule the reporting of the information and presenting it to the customer. Sharples et al provides motivation by indicating that this provides an effective means to enable non-technical customers to retrieve data presentation and access software at the time of data access (Col. 3, lines 1-6 and 40-50). Sharples et al also indicates that if the data relates to traffic statistics in a communications network, then the system is particularly useful for the service provider who can monitor almost in real time the success or otherwise of a particular communications service (Col. 3, lines 5-10).

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- Pullen et al disclose a system and method for monitoring telecommunications equipment using enhanced Internet access and teaches wherein personnel may click on an access option displayed on a home page that executes a Java applet to perform different functions such as requesting specific data or information from a services element server
- Jagadish et al disclose a method and system for providing online access to automatically generating billing information periodically, on a predetermined schedule or upon demand by the customer
- Buhler et al disclose a method for gathering billing information for Internet telephony including call detail reports

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- Scholl et al disclose a web based network management gateway wherein requests from web clients are processed to interact with communications systems and their managed objects to obtain information from the managed objects and communicate that information back to the web client
- Cogger et al disclose an integrated interface for web based customer care and trouble management and teach the use of a web browser to generate call detail reports, call usage analysis information and network traffic analysis/monitor information
- Lagarde et al disclose a web based system using intelligent agents for providing reports to a customer. Web clients request a report and specify the parameters to used in generating a report, a processing agent and a server retrieve, process and format the report information which is then presented to the user on the Web.

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11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to John Hayes whose telephone number is (703)306-5447. The examiner can normally be reached Monday through Friday from 5:30 to 3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jim Trammell, can be reached on (703) 305-9768.

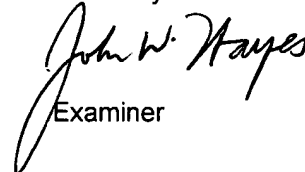
The Fax phone number for the **UNOFFICIAL FAX** for the organization where this application or proceeding is assigned is (703) 746-7240 (for informal or draft communications, please label "PROPOSED" or "DRAFT").

The Fax phone number for the **OFFICIAL FAX** for the organization where this application or proceeding is assigned is (703) 746-7239 (for formal communications intended for entry).

The Fax phone number for **AFTER-FINAL** communications where this application or proceeding is assigned in (703) 746-7238.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

John Hayes

A handwritten signature in black ink that reads "John W. Hayes". The signature is stylized with a large, looping initial "J" and a cursive "W".

Examiner

01 February 2002